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<b>AMENDMENT UNDER 37 C.F.R. §1.116</b>  Address to: Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket Confirmation No.	UCAL217 7367
	First Named Inventor	Y. Huang
	Application Number	10/033,526
	Filing Date	November 2, 2001
	Group Art Unit	1647
	Examiner Name	C.J. Nichols
	Title	<i>Methods of treating disorders related to apoE</i>

Sir:

This amendment is responsive to the final Office Action dated August 8, 2002, for which a three-month period for response was given, making this response due on or before November 8, 2003. *A Petition for a one-Month Extension of Time is submitted herewith, making this amendment due on or before December 8, 2003.* Accordingly, this response is timely filed.

Applicants submit that the amendments set forth below raise no new issues. Rather, the amendments place the claims in form for allowance or in better form for appeal. Entry of these amendments is thus respectfully requested.

In view of the remarks put forth below, reconsideration and allowance are respectfully requested.

12/09/2003 DTESSEM1 00000057 500815 10033526

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12-05-03

AF 1347  
\$

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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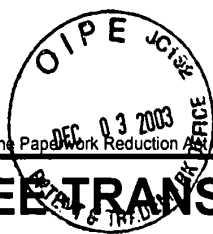
<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	10/033,526
		Filing Date	November 2, 2001
		First Named Inventor	HUANG, YADONG
		Group Art Unit	1647
		Examiner Name	NICHOLS, CHRISTOPHER J.
Total Number of Pages in This Submission		Attorney Docket Number	UCAL-217
<b>ENCLOSURES (check all that apply)</b>			
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group	
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
<input checked="" type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter	
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Change of Correspondence Address	1) Exhibit 1	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	2) Return Postcard	
<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Request for Refund		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> CD, Number of CD(s)		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>			
Signing Attorney/Agent (Reg. No.)	PAULA A. BORDEN, 42,344 BOZICEVIC, FIELD & FRANCIS LLP		
Signature			
Date	December 3, 2003		

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)

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**FEE TRANSMITTAL**  
**for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

**Complete if Known**

Application Number	10/033,526
Filing Date	November 2, 2001
First Named Inventor	HUANG, YADONG
Examiner Name	NICHOLS, CHRISTOPHER
Art Unit	1647
Attorney Docket No.	UCAL-217

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 55.00**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number	50-0815
Deposit Account Name	Bozicevic, Field & Francis LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1001	770	2001	385	Utility filing fee	
		1002	340	2002	170	Design filing fee	
		1003	530	2003	265	Plant filing fee	
		1004	770	2004	385	Reissue filing fee	
		1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1)**

0.00

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	x	=
Indep. Claims	-3** =	x	=
Multiple Dependent			=

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
		1202	18	2202	9	Claims in excess of 20
		1201	86	2201	43	Independent claims in excess of 3
		1203	290	2203	145	Multiple dependent claim, if not paid
		1204	86	2204	43	** Reissue independent claims over original patent
		1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** \$ 0.00

\*\*or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
		1051	130	2051	65	Surcharge - late filing fee or oath
		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
		1053	130	1053	130	Non-English specification
		1812	2,520	1812	2,520	For filing a request for ex parte reexamination
		1804	920*	1804	920*	Requesting publication of SIR prior to Examination action
		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
		1251	110	2251	55	Extension for reply within first month
		1252	420	2252	210	Extension for reply within second month
		1253	950	2253	475	Extension for reply within third month
		1254	1,480	2254	740	Extension for reply within fourth month
		1255	2,010	2255	1,005	Extension for reply within fifth month
		1401	330	2401	165	Notice of Appeal
		1402	330	2402	165	Filing a brief in support of an appeal
		1403	290	2403	145	Request for oral hearing
		1451	1,510	1451	1,510	Petition to institute a public use proceeding
		1452	110	2452	55	Petition to revive - unavoidable
		1453	1,330	2453	665	Petition to revive - unintentional
		1501	1,330	2501	665	Utility issue fee (or reissue)
		1502	480	2502	240	Design issue fee
		1503	640	2503	320	Plant issue fee
		1406	130	1460	130	Petitions to the Commissioner
		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
		1806	180	1806	180	Submission of Information Disclosure Stmt
		8021	40	8021	40	Recording each patent assignment per property (times number of properties)
		1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))
		1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))
		1801	770	2801	385	Request for Continued Examination (RCE)
		1802	900	1802	900	Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

Fee Paid

55.00

55.00

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Paula A. Borden	Registration No. (Attorney/Agent)	42,344	Telephone	(850) 833-7710
Signature		Date	12/03/2003		

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